



01-12-04

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RCE  
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PTO/SB/30 (08-03)

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Request  
For  
Continued Examination (RCE)  
Transmittal

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/932,047
Filing Date	08/17/2001
First Named Inventor	Shin Asari
Art Unit	1763
Examiner Name	Crowell, Anna M.
Attorney Docket Number	4351

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☒ Consider the arguments in the Appeal Brief or Rely Brief previously filed on 04 Dec. 2003

ii. ☐ Other \_\_\_\_\_

b. ☐ Enclosed

i. ☐ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☐ Deposit Account No. \_\_\_\_\_

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 880.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Floyd B. Carothers	Registration No. (Attorney/Agent)	24,252
Signature	<i>Floyd B. Carothers</i>	Date	01/08/2004

**CERTIFICATE OF MAILING OR TRANSMISSION**

**EXPRESS ET 793409837 US**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as ~~first class~~ mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Jean K. Carothers	Date	01/08/04
Signature	<i>Jean K. Carothers</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Patent  
Attorney Docket 4351

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Takaomi Kurata, Kazuaki Yamauchi )  
Masanori Hashimoto, Michio Ishikawa )  
Masayasu Hirata, Katsuhiko Mori )  
Crowell, Anna M. )  
Application No.: 09/932,047 ) Patent Examiner  
Art Unit 1763 )  
Filed: August 17, 2001 )  
For: PLASMA FILM-FORMING APPARATUS )  
AND CLEANING METHOD FOR THE SAME )

Pittsburgh, Pennsylvania

**TRANSMITTAL OF REQUEST FOR CONTINUED EXAMINATION  
AND REQUEST FOR EXTENSION OF ONE MONTH TO RESPOND**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Advisory Action mailed December 29, 2003, Applicants enclose herewith a Request for One Month Extension of Time to respond to the final rejection of September 10, 2003 and a proper response in the form of a Request for Continued Examination, together with the required combined fee of \$880.00 in the form of a check made payable to the Director of Patents and Trademarks. The total fee was calculated as \$770.00 for the RCE fee for a large entity, and as \$110.00 for the fee for extension for response in the first month for a large entity.

Kindly accept the Request for Extension of Time and the Request for Continued Examination and consider the Amendment filed on December 4, 2003 in due course.

Respectfully submitted,

CAROTHERS AND CAROTHERS



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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on Jan. 8, 2004

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